
Annual Report on Status of Board Decisions

42nd Board Meeting

GF/B42/17

14-15 November 2019, Geneva, Switzerland

Board Information

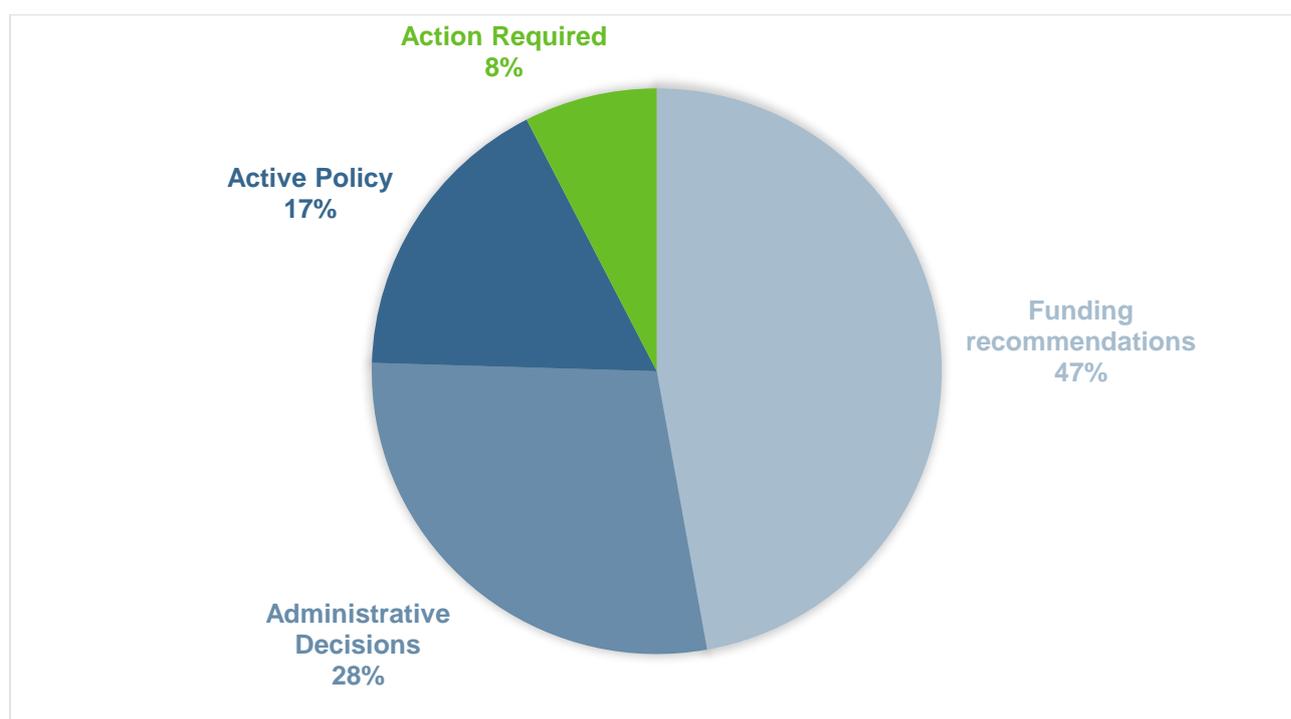
Purpose of the paper: As required under the Governance Plan for Impact, this paper is prepared on an annual basis by the Office of Board Affairs and provides an update on the Status of past Board Decision Points, for Board information.

What is the topic of this update?

1. The Governance Plan for Impact¹ approved by the Board in November 2014² called on the Office of Board Affairs (“OBA”) to enhance institutional memory management tools and processes. The enhancements responded to improvements identified through the Office of the Inspector General’s 2014 Governance Review, such as the importance of the Board’s decision-making to be informed by a “clear appreciation for the legacy of active decisions”, and to enable increased visibility over the status of implementation of past Board decisions
2. This Report is submitted by the OBA, and presents a status update on Board decisions as of 21 October 2019. This report supports the Board, the Board Leadership and the Coordinating Group in overseeing follow-up to past Board decisions, and provides assurance that decisions are being monitored. Tracking of Board decisions focuses on (a) “Active Policy Decisions” approving policies, frameworks, models, and core governance documents, and their associated reporting requirements; and (b) “Action Required Decisions” with time-bound follow-up action or actions, such as Board-initiated projects.

What is the current status?

3. The Board has approved a total of 52 decisions from 16 October 2018 to 21 October 2019. Figure 1 below displays the approximate proportion of Board decisions per classification category, for this one-year period, following the classification methodology described in Annex 4³.



¹ GF/B32/08 – Revision 2: Governance Plan for Impact

² <http://www.theglobalfund.org/Knowledge/Decisions/GF/B32/DP05/>

³ Note on calculation: a small number of decisions have two classifications insofar as they enact policy and also require follow-up action.

Input Sought

4. This routine report is provided for Board information. Board members are invited to contact the OBA for further information upon request. The next Annual Report will be submitted to the Board for the November 2020 Board Meeting

Annexes

- Annex 1: *Action Required* Decisions
- Annex 2: *Active Policy* Decisions
- Annex 3: Decisions closed since November 2018
- Annex 4: Register of Administrative DPs and EDPs for the period November 2018 to 21 October 2019
- Annex 5: Decision classification methodology

Background Materials

- Public database of Board decisions: <https://www.theglobalfund.org/en/board/decisions/>
- Public database of Board-approved funding recommendations: <https://www.theglobalfund.org/en/funding-decisions/>

GF/B42/17 – Annex 1 ACTIVE Decisions – Action Required: Decisions dating from January 2011 – 21 October 2019

Reference #	DP Title	DP Description	DP Hyperlink	Status Update - 2019
GF/B33/DP10	Ethics and Integrity: Second Stage Policy Proposals	Two follow-up actions required: 1. Board Leadership with AEC to revise the Terms of Reference of the Ethics Officer; and 2. Board Leadership with AEC to conduct review of Code of Ethical Conduct for Governance Officials	http://www.theglobalfund.org/board-decisions/B33-DP10/	1. COMPLETE: Terms of Reference of the Ethics Officer: approved by the Board on 29 July 2015 (GF/B33/EDP14). 2. IN PROGRESS: Code of Ethical Conduct for Governance Officials currently being reviewed. Board consultation on this Code of Conduct planned for Q4 2019 with aim to present a proposal for revisions to the EGC in March 2020.
GF/B33/EDP26	Global Fund Strategy Cycle	Approved move to a 6-year strategy period. Strategy Committee to develop a timeline for developing and finalizing the strategy that will commence immediately after the 2017 – 2022 Strategy as part of the mid-point review of the 2017 – 2022 Strategy.	http://www.theglobalfund.org/board-decisions/B33-EDP26/	IN PROGRESS: SC started initial planning conversation for next Strategy in October 2019. Implementation of current strategy is being evaluated by TERG as part of the Strategic Review 2020. Strategic Review planned for 2020.
GF/B36/EDP03	Employee Grievance and Dispute Resolution System	Request that Secretariat continue its work reviewing Global Fund's employee grievance and dispute resolution system and MEC decisions to strengthen the system. Also requests they continue review of the ILOAT system and provide alternative model for review and decision at AFC October 2017 meeting.	https://www.theglobalfund.org/board-decisions/b36-edp03/	ON HOLD: AFC discussion with Secretariat at AFC07 (July 2018) noted initiative is on hold and current internal mechanisms are effective.
GF/B36/EDP09	Performance Targets for the 2017-2022 Strategic Key Performance Indicator Framework	Approval of performance targets where proposals are complete.	https://www.theglobalfund.org/board-decisions/b36-edp09/	ONGOING for 2017-2022 Strategy period See also other decisions on individual KPI targets. (GF/B39/DP08; GF/B38/EDP18)
GF/B37/DP07	Administrative Agreement with the World Bank	Based on the recommendation of the AFC, the Board authorizes the Secretariat to enter into the proposed administration agreement with the World Bank for a performance-based funding project in the Democratic Republic of Congo (DRC). The Board confirms that this decision does not set a precedent for future investments with development partners or for existing relationships with partners, and requests the Secretariat develop a framework to guide future consideration of such investments, for presentation to and review by the AFC, in consultation with the Strategy Committee, for recommendation to the Board.	https://www.theglobalfund.org/board-decisions/b37-dp07/	IN PROGRESS: In October 2019, the Global Fund and the World Bank signed a co-financing framework agreement to accelerate efforts by countries to end HIV, tuberculosis and malaria and build sustainable systems for health. The Global Fund also signed a framework agreement with the Inter-American Development Bank in 2018. Both framework agreements allow the OIG to execute its mission in accordance with its Board-approved Charter, enabling provision of assurance to the Board. Discussions on framework agreements with other development banks are ongoing. COMPLETE: In October 2019, the Secretariat and OIG presented an update on OIG Access Rights in Contractual Agreements (contained in GF/AFC11/10a), notifying the AFC of the following: <ul style="list-style-type: none"> OIG and the Secretariat agreed to expand the discretion of the Secretariat to approve non-material modifications to the Global Fund Standard Terms and Conditions for Goods and Services ("STC"); and Regular reporting to the AFC shall be provided by the OIG in the form of "negative assurance" (i.e. OIG confirmation to the AFC that there were no agreements cleared under the process which limit the OIG's ability to fulfil its mandate) as part of OIG regular reporting.
GF/B37/DP09	Wambo.org: Progress Update and Steps for Advancement	Approval of report and a limited piloting program. Request that (1) Secretariat provide SC with draft of success indicators for pilot by next meeting (June 2017) and (2) report regularly back to SC. Delegation of authority to approve further pilot transactions to SC.	https://www.theglobalfund.org/board-decisions/b37-dp09/	(1) COMPLETED: Secretariat provided SC with draft of success indicators, pilot phase commenced. (2) ONGOING: Reporting to Strategy Committee. March 2019 SC approved additional pilot transactions. October 2019 - Strategy Committee made proposal for evolution of wambo pilot scope with lifted access constraints on sources of funding / additional buyers / additional product catalogues. To be considered by Board in November 2019.
GF/B38/DP09	Approval of Policy to Combat Fraud and Corruption	Develop implementation plan for CFC Policy. Regular reporting requirement on implementation by Ethics Officer to EGC, and by ED/IG to the EGC or AFC when any exceptions to this policy are allowed.	https://www.theglobalfund.org/board-decisions/b38-dp09/	IN PROGRESS Implementation to date includes adoption and roll-out of Code of Conduct for CCMs, and development of IDD Framework. Routine reporting to EGC by Ethics Officer. Following OIG Audit on Managing Ethics and Integrity at the Global Fund AMAs have been agreed to continue to strengthen the framework and implement the PCFC. Routine reporting to AFC by Secretariat.

GF/B39/DP06	Strengthening the Processes for Selection of Committee Leadership and Committee Members	Board requests the EGC to undertake a review of the existing committee selection process and prepare to make a recommendation to the Board for a decision at the 40th Board Meeting. Also requests that the EGC requests feedback broadly from across Board constituencies when formulating its recommendations and invites a representative of civil society to be included in these deliberations.	https://www.theglobalfund.org/board-decisions/b39-dp06/	IN PROGRESS: EGC recommended revised Committee Selection Processes to the Board in October 2019. Decision to be taken before B42 in November 2019.
GF/B39/DP10	CCM Evolution: Funding for Near-Term Implementation	Requests Secretariat to develop a set of draft indicators, both near-term and long-term, for consultation with SC at its July 2018 meeting and to regularly report to SC. Directs the SC to utilize the near term results to inform the type of activities and the level of funding for CCM Evolution in the 2020-2022 allocation period, and to discuss the level of funding needed to scale the activities and number of CCMs.	https://www.theglobalfund.org/board-decisions/b39-dp10/	COMPLETED: Evaluation presented to the SC at its 9th meeting in March 2019. At its 11th meeting, the SC utilized the near-term results to inform the type of activities for the 2020-2022 allocation period allowing scale-up. Funding for CCM was approved as part of the Catalytic Investments decision.
GF/B41/DP03	Allocation Methodology for 2020-2022	Approval of Allocation Methodology	https://www.theglobalfund.org/board-decisions/b41-dp03/	EFFECTIVE 2020: The Allocation Methodology approved by the Board in May 2019 (B41/DP03) will come into effect in January 2020.
GF/B41/DP04	Catalytic Investments for the 2020-2022 Allocation Period	Approval of the catalytic investments for the 2020 – 2022 allocation period as set forth in the 4 scenarios: USD 900 million scenario, USD 800 million scenario, USD 600 million scenario, USD 400 million scenario, USD 200 million scenario Board requests the Secretariat to: implement an approval process for all catalytic investments; execute a technical review process on the activities, mechanisms, and the requested amounts; report regularly to the SC on all catalytic investments; return to the SC with a new recommendation on catalytic investments if sources of funds for allocation for the 2020 – 2022 allocation period are below USD 10.1 billion, for SC recommendation to the Board	https://www.theglobalfund.org/board-decisions/b41-dp04/	EFFECTIVE 2020: Pending Board decision on total amount of sources of funds for allocation 2020-2022 (November 2019). Total amount of funding for catalytic investments in the 2020-2022 allocation period, will be determined by the total amount of sources of funds for allocation for the 2020-2022 allocation period.

GF/B42/17 – Annex 2 ACTIVE Decisions – Active Policies: Decisions approved between 16 October 2018 – 21 October 2019

Reference number	Decision Point Title	Description of Decision	DP Database Hyperlink	Status October 2019 (Status as per most recent Board or committee discussion)
GF/B39/DP18	Revised Terms of Reference for the Chair and Vice-Chair of the Board	The Board approves the revised Terms of Reference of the Chair and Vice-Chair. Shall supersede any and all prior versions		
GF/B39/EDP17	Key Performance Indicator 12b: Availability of Affordable Health Technologies: Affordability	Board approves the 2019 performance target of USD 115 million for Key Performance Indicator 12b as presented in GF/B39/ER14A.	https://www.theglobalfund.org/board-decisions/b39-edp17/	Ongoing policy.
GF/B40/EDP11	Amendments to the Operating Procedures of the Board and Committees	Operating Procedures of the Board and Committees of the Global Fund ("BCOPs") were approved by the Board in 2016. This decision approved amendments to this document to simplify processes and enhance clarity.	https://www.theglobalfund.org/board-decisions/b40-edp11/	ONGOING policy.
GF/B40/EDP14	Revision to the Charter of the Office of Inspector General	Based on the recommendation of the Audit and Finance Committee, the Board approves the Charter of the Office of the Inspector General	https://www.theglobalfund.org/board-decisions/b40-edp14/	ONGOING policy.
GF/B41/DP03	Allocation Methodology for 2020-2022	Approval of Allocation Methodology	https://www.theglobalfund.org/board-decisions/b41-dp03/	EFFECTIVE 2020: The Allocation Methodology approved by the Board in May 2019 (B41/DP03) will come into effect in January 2020.
GF/B41/DP04	Catalytic Investments for the 2020-2022 Allocation Period	Approval of the catalytic investments for the 2020 – 2022 allocation period as set forth in the 4 scenarios: USD 900 million scenario, USD 800 million scenario, USD 600 million scenario, USD 400 million scenario, USD 200 million scenario Board requests the Secretariat to: implement an approval process for all catalytic investments; execute a technical review process on the activities, mechanisms, and the requested amounts; report regularly to the SC on all catalytic investments; return to the SC with a new recommendation on catalytic investments if sources of funds for allocation for the 2020 – 2022 allocation period are below USD 10.1 billion, for SC recommendation to the Board	https://www.theglobalfund.org/board-decisions/b41-dp04/	EFFECTIVE 2020: Pending Board decision on total amount of sources of funds for allocation 2020-2022 (November 2019). Total amount of funding for catalytic investments in the 2020-2022 allocation period, will be determined by the total amount of sources of funds for allocation for the 2020-2022 allocation period.
GF/B41/DP05	Approval of the Amended and Restated Policy on Restricted Financial Contributions	Approval of the Amended and Restated Policy on Restricted Financial Contributions	https://www.theglobalfund.org/board-decisions/b41-dp05/	ONGOING policy.
GF/B41/EDP03	Revised Governance Performance Assessment Framework	Amendments to Governance Performance Assessment Framework. The Board mandates the Ethics and Governance Committee ("EGC") to regularly review the Framework and delegates decision-making powers to the EGC for any future changes to the Framework and any supporting materials related to it	https://www.theglobalfund.org/board-decisions/b41-edp03/	ONGOING policy. Committee Self-Assessments carried out for 2019 Committee meetings. Board level self-assessment to be launched in 2020.
GF/B41/EDP06	Eligibility of Venezuela's Malaria Component for the 2020-2022 Allocation Period	The Board decides that Venezuela's malaria component will be eligible for funding for the 2020-2022 allocation period due to significant resurgence	https://www.theglobalfund.org/board-decisions/b41-edp06/	ONGOING policy. Will be considered during application of allocation methodology for 2020-2022 Grant Making Process.

GF/B42/17 – Annex 3 Decisions Superseded or Completed in the period between 16 October 2018 – 21 October 2019

Reference Number	Decision Point Title	Description of Decision	DP Database Hyperlink	Status October 2019
GF/B33/EDP18	Approval of the Governance Performance Assessment Framework	Approved a 4-component Performance Assessment Framework to provide the Board with a full picture of the effectiveness of the Global Fund's governing bodies in enabling the achievement of the targeted impact outlined in the Strategy, through the Board's core functions.	http://www.theglobalfund.org/board-decisions/GF/B33/EDP11/	SUPERSEDED BY GF/B41/EDP03 - Revised Governance Performance Assessment Framework
GF/B34/EDP21	Operating Procedures of the Board and Committees	Core governance document: Operating Procedures of the Board and Committees. New provisions for decision-making on routine orders of business at Board Meetings	http://www.theglobalfund.org/board-decisions/B34-EDP21/	AMENDED by GF/B39/EDP08, which approved revisions made to core governance documents including the Board and Committee Operating Procedures (BCOP)
GF/B35/DP10	Allocation Methodology 2017-2019	Approval of Allocation Methodology	http://www.theglobalfund.org/board-decisions/B35-DP10/	SUPERSEDED by GF/B41/DP03 - Allocation Methodology 2020-2022
GF/B37/DP08	Approval of Revisions to the Amended and Restated Global Fund Policy for Restricted Financial Contributions	Approval of amended and restated GF Policy for restricted financial contributions, based on recommendation of AFC	https://www.theglobalfund.org/board-decisions/b37-dp08/	SUPERSEDED BY GF/B41/DP05 - Approval of the Amended and Restated Policy on Restricted Financial Contributions
GF/B39/DP12	Strengthening the Board Leadership Selection Process	Board requests Secretariat to: (1) finalize BL TORs and (2) prepare revisions to relevant core governance documents, for Board decision, to implement revised board leadership selection process ahead of 2018 launch for nominations.	https://www.theglobalfund.org/board-decisions/b39-dp12/	COMPLETED: Revised Board Leadership Selection led to appointment of new Board Leadership in May 2019.
GF/B39/EDP04	Review of the terms of reference and renewal of the term and composition of the Privileges and Immunities Advisory Group	Approval of amended terms of reference for the Privileges and Immunities Advisory Group (PIAG), with renewed term of PIAG for additional period of 3 years, ending on May 10 2021	https://www.theglobalfund.org/board-decisions/b39-edp04	COMPLETED. EGC appointed Members of PIAG on 20 June 2019

Annex 4 – Register of Administrative DPs and EDPs for the period November 2018 to 21 October 2019

Reference Number	DP Title
GF/B39/EDP12	Delegation of Signature Authority
GF/B40/DP03	2019 Work Plan and Budget Narrative and the 2019 Operating Expenses Budget
GF/B39/EDP13	Secretariat Appointments
GF/B39/EDP16	Appointment of the Board Leadership Nomination Committee Membership
GF/B40/DP01	Appointment of the Rapporteur
GF/B40/DP02	Approval of the Agenda
GF/B40/EDP01	Appointment of a New Member to the Strategy Committee
GF/B40/EDP06	Approval of the Report of the 40th Board Meeting in Geneva
GF/B40/EDP15	Appointment of the Board Chair and Vice-Chair
GF/B41/DP01	Appointment of the Rapporteur
GF/B41/DP02	Approval of the Agenda
GF/B41/DP06	2018 Annual Financial Report
GF/B41/DP07	2018 Statutory Financial Statements
GF/B41/DP08	Appointment of the External Auditor
GF/B41/DP09	Appreciation of Outgoing Chair and Vice-Chair of the Board
GF/B41/EDP05	Approval of the Report of the Global Fund 41st Board Meeting
GF/B41/EDP11	Appointment of a Replacement Member on the Audit and Finance Committee

Annex 3 – Classification of Board Decisions⁴

Classification	Sub-classification	Definition
ACTIVE Decisions	Action Required	Decision requires a time-bound one-off action or set of actions. Includes projects and other assignments required of the Secretariat, the OIG, or a governance body.
	Active Policy	Decision provides the underlying authorization or approval of (1) active policies and guiding principles, (2) strategic/operational frameworks and models, (3) the most recent approval of core governance documents (Charters, TORs, Operating Procedures, etc.)
Reporting Requirement	n/a	Active Decision which requires routine reporting to the Board or other governance body.
Classification	Sub-classification	Definition
CLOSED Decisions	Completed	Time-bound one-off action, or series of actions, completed, or project delivered. Applicable timeframe for an approved policy, framework or model has reached its defined expiry date.
	Superseded	Decisions approving a past policy, framework, model or core governance document which is no longer in force, having been replaced by a more recent policy/framework/model/core document approved by Board Decision.
	Administrative - no follow-up required	Decisions with no follow-up action. Approval of a document for the record (agenda, report of a meeting); approval of annual financial statements; appointment of an individual to a role. Decisions which have been withdrawn or not approved are classified as 'Administrative', with an explanation included in the Notes field where applicable (for example, link to a subsequent approved decision).
	Funding Recommendations	Decisions of the Board, on the recommendation of the Grant Approvals Committee or previous equivalent body, relating to allocation of funding and grant extensions.

⁴ Developed under oversight of the Transitional Governance Committee, July 2015