



Investing in our future

The Global Fund

To Fight AIDS, Tuberculosis and Malaria

Twenty First Board Meeting
Geneva, 28-30 April 2010

GF/B21/5
Revision 1
Decision

REPORT OF THE PORTFOLIO AND IMPLEMENTATION COMMITTEE

The Report of the PIC to the Board (GF/B21/5) is being revised to reflect the Committee's deliberations at its extraordinary meeting just before the Twenty-First Board Meeting.

This document reflects changes to the PIC's recommendations to the Board with respect to amendments to the Terms of Reference of the Technical Review Panel (TRP). They are as follows:

- Strengthening the conflict of interest provisions of the TRP TOR; and
- Allowing the employees of the United Nations and the its specialized agencies to be eligible to serve as TRP members within the context of the revised conflict of interest provisions. This proposal was not unanimously endorsed by PIC members.

The relevant decision point is set out below.

Decision Point 1: Revisions to the Terms of Reference of the Technical Review Panel

The Board decides to amend the Terms of Reference of the Technical Review Panel, as set forth in Annex 1 of the Report of the Portfolio Implementation Committee (GF/B21/5, Revision 1).

The Board decides that for the final re-submission wave of the Rolling Continuation Channel (RCC) the Terms of Reference as amended at the Nineteenth Board Meeting (GF/B19/DP16), will be applicable.

The budgetary implications for this decision point in 2010 are estimated at approximately US\$36,000 for TRP travel expenses, accommodation and honoraria for the review of Rounds-based proposals. The Secretariat will endeavor to absorb the incremental costs in 2010 by making commensurate savings within the approved budget.

In addition, the PIC, under delegated authority of the Board, approved the Round 10 Proposal Forms and Guidelines. The PIC decision point is set out below:

PIC Decision Point: Approval of the Round 10 Proposal Forms and Guidelines

Under its delegated authority (GF/B16/DP15), the Portfolio and Implementation Committee approves the Proposal Forms and Guidelines for the Tenth Call for Proposals.

The PIC requests the Secretariat to ensure that the Round 10 prioritization mechanism adopted by the Board at its Twenty First meeting is clearly communicated to applicants at the same time as the Round 10 Proposal Forms and Guidelines.

This decision point does not have material budgetary implications.

The revised report (GF/B21/5) will be issued in full after the conclusion of the Board Meeting.

TERMS OF REFERENCE OF THE TECHNICAL REVIEW PANEL

Part 1: Background

1. The Global Fund to Fight AIDS, Tuberculosis and Malaria (the Global Fund) provides grants in support of technically sound and cost-effective interventions for the prevention of infection and the treatment, care and support of persons infected and directly affected by HIV/AIDS, tuberculosis and malaria.

2. The Technical Review Panel (TRP) is an independent, impartial team of experts appointed by the Global Fund Board to guarantee the integrity and consistency of an open and transparent proposal¹ review process. The TRP, in its various formations outlined in paragraph 4 and 9 below, reviews:

- (a) proposals for financial support submitted through the rounds based channel (Rounds-based channel);
- (b) Requests for Phase 2 Continued Funding that are determined by the Secretariat's Phase 2 Panel to constitute a 'Revised Go' under the criteria specified in the Secretariat's Phase 2 Decision Making Policies and Procedures (Revised Go Requests) as may be amended from time to time; and
- ~~(c) proposals for the continuation of expiring grants submitted through the rolling continuation channel² (Rolling Continuation Channel); and~~
- ~~(d)~~(c) other ad hoc requests by applicants to change implementation arrangements to such extent that the proposed changes are determined by the Secretariat to comprise a material reprogramming request in regard to a Global Fund Board approved proposal (a Reprogramming Request).

3. The TRP's review function is performed against technical criteria as set out in these terms of reference (TORs). Based on these criteria, the TRP makes:

- (a) funding recommendations to the Board of the Global Fund for final decision in respect of Rounds-based Channel ~~and Rolling Continuation Channel~~ proposals, and Revised Go Requests; and
- (b) a final decision to the Secretariat in respect of a Reprogramming Request.

¹ The term 'proposal' refers to each separate component within an application for funding, whether HIV/AIDS, tuberculosis, malaria or such other component as may be approved by the Board from time to time.

² Defined in Global Fund Board decision Global Fund/B14/DP9, as may be amended from time to time.

Part 2: TRP Membership

Definitions

4. In these TORs, a reference to:
 - (a) the **Permanent TRP** means the group of experts whose primary role is to review proposals through the Rounds-based channel, and **Permanent TRP Members** means those experts who primarily review such proposals;
 - (b) **Alternate Members** means those persons identified for each Round of proposal review, who may be requested by the TRP Chair to replace a Permanent TRP Member and serve on the TRP in the event a Permanent TRP Member is not available to review proposals for a specific Round;
 - (c) the **Support Group** means the pool of experts from which TRP membership –is chosen and replenished from time to time;
 - (d) **Former TRP Members** means those TRP members whose term of service as a 'Permanent TRP Member' has expired and who may be requested to participate in review of Revised Go Requests ~~and Rolling Continuation Channel proposals~~;
 - (e) the **TRP** means, collectively, each of the Permanent TRP Members, Alternate Members, the Support Group and Former TRP Members;
 - (f) the **TRP Chair** means the person selected by the Permanent TRP Members as chair of the TRP from time to time;
 - (g) the **TRP Vice Chair** means a person selected by the Permanent TRP Members as vice chair of the TRP from time to time; and
 - ~~(h) a **Rolling Continuation Channel Panel** is a panel constituted to review proposals submitted under the Rolling Continuation Channel; and~~
 - ~~(i)~~(h) an **Ad Hoc Panel** is a panel constituted to review a Reprogramming Request or a Revised Go Request.

Composition of the TRP

5. The TRP membership shall:
 - (a) be representative of a wide array of expertise, both scientific and programmatic, with a preference for extensive program experiences;
 - (b) have geographically diverse experiences and include persons who work or have worked with a broad range of organizations in both developing and developed countries;
 - (c) include a balance of expertise in HIV/AIDS, tuberculosis and malaria prevention, care and treatment, as well as cross-cutting and health systems areas applicable to program implementation in resource-poor settings, including specific expertise on the differential impact of the three diseases and appropriate programmatic interventions for women and men, as well as for key affected populations, including injection drug users, men who have sex with men, transgender communities, sex workers, migrants and prisoners;

- (d) include persons with extensive experience in the role of civil society/private sector in the field of HIV/AIDS, tuberculosis and/or malaria; and
- (e) be geographically and ethnically diverse, with balanced male and female representation and meaningful representation of people living with and/or affected by HIV/AIDS, tuberculosis and/or malaria.

6. TRP members will not represent their employer, government or positions of Global Fund partners, in particular the United Nations and its specialized agencies, and will serve in their personal capacities only.

~~7. Members of the Secretariat and employees of the United Nations and its specialized agencies are ineligible to serve on the TRP. Board Members, Alternate Members, Focal Points, Committee Members or individuals who participate in Board Meetings as part of Board constituency delegations and country coordinating mechanism³ members shall stand down from these roles if selected to serve on the TRP.~~

~~7.8. The names and curricula vitae of Permanent TRP Members (and Alternate Members or Support Group members selected to serve as a reviewer for a specific Round) shall be made public on the Global Fund website.~~

~~8.9. Size of TRP:~~

- (a) The Permanent TRP shall consist of the Chair and the Vice Chair(s) and a maximum of 40 persons, and,
- ~~(a)(b) subject to this paragraph, In addition to the Chair and the Vice Chair(s), the Permanent TRP shall be generally comprised of:~~
 - (i) eight HIV/AIDS experts;
 - (ii) six tuberculosis experts;
 - (iii) six malaria experts; and
 - (iv) 2016 cross-cutting experts.
 - ~~(v) one additional Permanent TRP Member to replace the TRP Chair during the period that she or he is TRP Chair.~~

The TRP Chair and TRP Vice-Chair(s) may, at their discretion, adjust the number of experts across the different fields of expertise noted above, having regard to the needs of the TRP for a specific Round.

~~(b)(c) The Alternate Member pool shall consist of a maximum of 20 persons for each Round, apportioned between the relevant expertise groups as appropriate.~~

~~(e)(d) An Ad Hoc Panel or a Rolling Continuation Channel Panel shall consist of the TRP Chair and/or a Vice Chair and appropriate number of reviewers as determined by the Chair or Vice Chair.~~

~~(e)(e) The Support Group pool shall consist of a maximum of 80100 persons at any one time (excluding Permanent TRP Members, Alternate Members and Former TRP Members), and respectively apportioned between the relevant expertise groups.~~

³ The reference to country coordinating mechanism (CCM) includes regional coordinating mechanisms and sub-CCMs.

Part 3: Process of identification of TRP members

Formation and identification of Support Group

~~9-10.~~ The Board of the Global Fund shall select Support Group members based upon recommendations of the Portfolio and Implementation Committee and Executive Director of the Global Fund made in accordance with these TORs. Before making its recommendation, the Portfolio and Implementation Committee and Executive Director shall agree to a ranking of the Support Group members.

~~10-11.~~ Based on lessons learned, the TRP Chair and TRP Vice Chair(s) may identify perceived gaps in the minimum areas of expertise across the TRP, and provide such input to the Portfolio and Implementation Committee for consideration in regard to the recruitment and selection processes for TRP membership.

~~11-12.~~ Recruitment and selection of Support Group members:

- (a) will be undertaken typically every two years; and
- (b) may also be undertaken, as necessary to fill unexpected vacancies.

~~12-13.~~ The recruitment and selection of Support Group members will be:

- (a) managed by the Portfolio and Implementation Committee through an open, transparent and criteria based process; and
- (b) made through a public call for applications. On behalf of the Portfolio and Implementation Committee, the Secretariat shall invite Board constituencies, lead technical partnerships (including UNAIDS, the Stop TB Partnership and the Roll Back Malaria Partnership), WHO's technical advisory clusters and programs, and TRP members to identify appropriately qualified and independent experts to receive an invitation to apply.

Identification of Permanent TRP Members and Alternate Members

~~13-14.~~ After each Rounds-based review process, the TRP Chair and Vice Chair(s) shall identify persons they recommend as replacements for vacancies in Permanent TRP Members and Alternate Members from the Support Group and shall provide these recommendations to the Portfolio and Implementation Committee and the Executive Director to consider and make recommendations to the Board, using criteria consistent with paragraph 5 above and based upon the following principles:

- (a) identified needs to ensure that the Permanent TRP maintains an appropriate mix of skills and competencies;
- (b) program and regional/in-country experiences and academic experiences are balanced amongst Permanent TRP Members; and
- (c) regard to the rankings of members of the Support Group.

Selection of the TRP Chair and TRP Vice Chair(s)

14.15. The TRP Chair and TRP Vice Chair shall be elected by the Permanent TRP Members from its membership as required to ensure that the position of TRP Chair and TRP Vice Chair are not vacant. The TRP may select a second Vice Chair to facilitate the sharing of TRP leadership responsibilities more broadly. It is anticipated that, typically, the TRP Vice Chair(s) will be confirmed by the Permanent TRP members as the incoming TRP Chair commencing immediately after the completion of TRP clarifications for the final Rounds-based proposal review overseen by the outgoing TRP Chair. The TRP shall establish a voting procedure prior to such a selection. The TRP is encouraged to consider an appropriate balance of male and female members in active leadership roles when voting.

Identification of TRP Members for non-Rounds-based channel reviews

~~15. The TRP Chair and Vice Chair(s) shall determine the size and composition of each Rolling Continuation Channel Panel based on the field of expertise of potential reviewers, and the content of the proposals. Such panels may include Permanent TRP Members, Former TRP Members and where necessary due to member unavailability, Alternate Members and Support Group members.~~

16. The TRP Chair and Vice Chair(s) shall determine the size and composition of each Ad Hoc Panel from Permanent TRP Members, and where necessary due to member unavailability, Alternate Members. The TRP Chair or a Vice Chair determines the size and composition of each panel depending on the particular reprogramming request submitted for review.

Maximum term of service for Permanent TRP Members

17. Permanent TRP Members may serve a term of up to four Rounds of proposal review. A Permanent TRP Member's term of service is not required to be completed over consecutive Rounds.

18. After completion of each Rounds-based proposal review, the TRP Chair and TRP Vice Chair(s) will report to the Portfolio and Implementation Committee on its recommendations for Permanent TRP members.

19. The TRP Chair may serve as chair for no more than two Rounds. The maximum term of service of four Rounds of proposals for Permanent TRP Members referred to in paragraph 18 above is extendable for an additional two Rounds for the TRP Chair.

Conflicts of interests and confidentiality

20. Members of the TRP are covered by the requirements of the ['Policy on Ethics and Conflict of Interest for Global Fund Institutions'](#)⁴.

21. The TRP may set internal guidelines⁵ on how to comply with the 'Policy on Ethics and Conflict of Interest for Global Fund Institutions'.

22. A conflict of interest may arise when:

(a) TRP members are employed by a government, corporation or organization, in particular United Nations or its specialized agencies, that has assisted in proposal development processes or may be a potential beneficiary of funding from the Global Fund (e.g. Principal Recipient, Sub-Recipient, Technical Assistance provider, etc.); and

(b) TRP members are nationals of countries that have applied for funding as either a single or multi-country applicant.

23. Members of the TRP are responsible for upholding the integrity of the TRP and its independence and are required to declare any actual, potential or perceived conflict of interest. TRP members will disclose all actual, potential or perceived conflicts of interest to the TRP Chair and may be required by the TRP Chair to recuse themselves from proposal review (including in plenary sessions). The matter will be referred to the Ethics Committee if there is any ambiguity as to whether an actual, potential or perceived conflict exists.

24. TRP members with an actual, potential or perceived conflict will be recused from proposal review.

~~22-25.~~ Members of the TRP shall sign a confidentiality statement prepared in accordance with the TRP's internal guidelines on an annual basis if called upon to participate in the review of proposals.

Part 4: Scope of Work of the TRP

Review criteria and recommendations process

~~23-26.~~ The TRP undertakes its review of Rounds-based channel proposals, Revised Go Requests, ~~Rolling Continuation Channel proposals~~ and Reprogramming Requests against the following technical criteria:

- (a) Soundness of approach;
- (b) Feasibility; and
- (c) Potential for sustainability and impact.

⁴ Annex 1 (Revision 1) to the Report of the Ethics Committee (GF/B18/8)

⁵ These guidelines include, but are not limited to, the restriction of the TRP members to participate in proposal development and advising applicants (CCMs and other mechanisms) during their service as TRP members and a 'cooling off' period as specified in the TRP internal guidelines.

~~24-27.~~ Detailed characteristics of the review criteria for proposals submitted after 5 July 2007 are set out in paragraph 24 above and are attached as Attachment 1 to these TORs⁶.

~~25-28.~~ The TRP undertakes its review ensuring that each of the review criteria are equally considered (no one criterion overweighting any other), without consideration of the amount of resources available to the Global Fund or the income level or burden of disease of the economy targeted by proposal.

~~26-29.~~ TRP members ~~Primary and secondary reviewers~~ may solicit ad hoc assistance from the Secretariat and technical partners, clarifying epidemiological information and/or policies or any aspects of implementation of previous financing concerning the proposal under review.

~~27-30.~~ TRP recommendations are made by consensus in plenary sessions⁷. If consensus cannot be reached, the Chair shall call for a decision by majority vote of those present.

~~28-31.~~ Other than for Reprogramming Requests, the TRP shall provide its funding recommendations to the Board, as well as feedback to applicants regarding the technical quality of their proposal, in a document entitled 'TRP Review Form'. The TRP Review Form shall also specify any clarifications and/or adjustments that the TRP requires, or reasons why a proposal was not recommended for funding.

~~29-32.~~ After a Board decision on funding (or, in the case of a Reprogramming Panel recommendation, the Secretariat's receipt of notice of the recommendation of the TRP⁸), TRP Review Forms shall be provided to applicants.

Review and outcomes of proposals submitted through the Rounds-based channel

~~30-33.~~ The TRP shall review eligible proposals submitted through the Rounds-based channel during a in-person meeting.

~~31-34.~~ The TRP shall review each Rounds-based proposal as a whole and not separately evaluate elements within a proposal and recommend some to the Board for funding and not others. ~~The TRP can however recommend modification or even elimination of weak elements in an otherwise strong proposal where those weak elements are not a key or major aspect of the proposal.~~ However, in reviewing a disease component which contains a cross-cutting HSS section, the TRP may recommend for funding either:

- a. The entire disease component, including the cross-cutting HSS section;
- b. The disease component excluding the cross-cutting HSS section; or
- c. Only the cross-cutting HSS section if the interventions in that section materially contribute to overcoming health systems constraints to improved HIV, tuberculosis and malaria outcomes.

⁶ The review criteria may change from time to time as approved by the Board

⁷ In these TORs the term 'plenary' refers to all of the TRP members participating in the relevant review process (whether a Round, Continuation Channel wave, or an individual reprogramming request)

⁸ Refer to paragraph 3 in part 1 above.

In addition, the TRP can recommend modification or even elimination of weak elements in an otherwise strong proposal where those weak elements are not a key or major aspect of the proposal.⁷

Commencing with Round 10, the TRP may recommend the approval of a Rounds-based proposal conditional upon the removal of a limited set of elements of the proposal (which removal is not subject to a right of appeal).⁹

~~32-35.~~ The TRP Chair and/or a TRP Vice Chair shall assign the primary and the secondary reviewers for each proposal. The primary reviewer is responsible for compiling the TRP Review Form and presenting the group's review comments to the plenary session(s). The secondary reviewer supports the group's presentation at the plenary session(s).

~~33-36.~~ The TRP Chair and Vice Chair(s) shall not serve as ~~a~~ reviewers of proposals, but support and facilitates the small group and plenary discussions on a daily basis, as well as facilitate the implementation of quality assurance processes to ensure clarity and consistency of TRP recommendations.

~~34-37.~~ The TRP shall classify proposals according to the four categories set out in part 1 of **Attachment 2** to these TORs and presents its recommendations by category to the Board.

~~35-38.~~ After each Rounds-based proposal review meeting, the TRP Chair and Vice Chair(s) shall prepare a report to the Board, which includes an analysis of the outcome of the review process as well as recommendations on lessons learned from that Round.

~~Review and outcomes of proposals submitted through the Rolling Continuation Channel~~

~~36.~~ The TRP shall review eligible proposals submitted through the Rolling Continuation Channel. The TRP Chair shall determine the method of such review.

~~37.~~ The TRP shall determine whether or not the Rolling Continuation Channel proposal is materially different in scope, as defined by the Secretariat¹⁰, compared to the scope of the grant it seeks to continue. If the Rolling Continuation Channel Panel determines that there is such a material difference, it shall reject the proposal under Category 4 specified in Part 2 of Annex 2 to these TORs (and will also provide information on any perceived main technical weaknesses in the proposal that the TRP has observed in making such determination). If the TRP determines that the proposal is not materially different, it shall continue to undertake a full review of the proposal for technical merit as specified in paragraph 24 above.

~~38.~~ The TRP may recommend the approval of a Rolling Continuation Channel proposal conditional upon the removal of a limited set of elements of the proposal.

~~39.~~ The TRP shall classify proposals according to the four categories set out in part 2 of Attachment 2 to these TORs and presents its recommendations by category to the Board.

⁹ Article ~~32-34~~ has been amended in line with Board Decision GF/B17/DP5 and GF/B18/DP19.

¹⁰ ~~The Guidelines accompanying a Rolling Continuation Channel Proposal Form will define 'Materially different' for the purposes of the application.~~

Review and outcomes of Reprogramming Requests

- 40-39. At any stage after initial Board approval the Secretariat may request the TRP to review changes to the implementation plans for a grant on the basis that proposed changes are so significant that had they been known at the time that the original recommendation was made, may have resulted in a different TRP recommendation (Material Reprogramming).
- 41-40. The TRP Chair or a Vice Chair shall determine the method of review of Reprogramming Requests.
- 42-41. Where the TRP determines that the Reprogramming Request is Material Reprogramming, the TRP reviews the Reprogramming Request against the criteria set out in paragraph 2626 above. If the TRP determines that the Reprogramming Request is not a Material Reprogramming, the TRP refers it back to the Secretariat. The decision of the TRP represents a final decision on the Reprogramming Request.
- 43-42. Where a Reprogramming Request is approved by the TRP, the TRP may request an applicant to provide clarifications or adjustments to the TRP within the certain timeframe prior to final approval. Such adjustments or clarifications must be completed, as evidenced by approval of the TRP Chair or a Vice Chair.

Review and outcomes of Revised Go Requests referred by the Phase 2 Decision Panel

- 44-43. The TRP shall review Revised Go requests and make recommendations to the Board using the Phase 2 decision making categories set out in part 3-2 to Attachment 2 to these TORs. Other than when a 'No Go' recommendation is made, the TRP also recommends an upper ceiling for the incremental funding amount for continued funding.

Part 5: Proposal Clarifications and Adjustments Process

- 45-44. The TRP's funding recommendations to the Board (or, for Reprogramming Requests approved by the TRP) may require clarifications and adjustments. The TRP may request an independent budget review as a part of the clarification process.
- 46-45. Board decisions for funding of Rounds-based channel proposals, ~~Rolling Continuation Channel proposals~~ and Revised Go Requests are subject to such clarifications and adjustments being finalized within the limited timeframes set out in ~~Annex Attachment 2~~ to these TORs. The TRP clarifications process commences as soon as possible following a Board decision on funding.
- 47-46. The primary and secondary reviewers¹¹ of a proposal or Revised Go Request shall evaluate information provided by the applicant in response to the clarifications and adjustments requested by the TRP. The TRP Chair and/or TRP Vice-Chair(s) shall give final approval of the proposal or the Revised Go Request based on consultations with the primary and secondary reviewers.

¹¹~~For clarifications for successful appeals requiring clarifications, at least one of the TRP members who participated in the review of that appeal must participate in the TRP clarifications process.~~

48-47. During the TRP clarifications process, there may be several iterations between the TRP and the applicant, which may result in budgetary reductions or changes to objectives and targets. The TRP may also set conditions to be fulfilled prior to funding and indicate matters for the Secretariat's attention during the grant negotiations.

49-48. Successful appeals are subject to the same clarification process as described in this part 5.

Appeal Process

50-49. Board decisions on the funding of Rounds-based ~~channel~~ and ~~Rolling Continuation Channel~~ proposals are made by reference to TRP recommendations, and may be subject to appeal consistent with the Appeal Policy.

Part 6: Logistics

51-50. TRP Members may receive an honorarium for their services, as approved by the Global Fund Secretariat, in addition to travel expenses and per diems.

52-51. The TRP is supported by the Secretariat to support and facilitate its activities, in particular with regard to the arrangements for the TRP meetings as well as provision of the relevant documentation for review.

Attachment 1 - Proposal Review Criteria

The TRP looks for proposals that demonstrate the following characteristics:

Soundness of approach:

- Use of interventions consistent with international best practices (as outlined in the Stop TB Strategy, the Roll Back Malaria Global Strategic Plan, the WHO Global Health-Sector Strategy for HIV/AIDS and other WHO and UNAIDS strategies and guidance) to increase service coverage for the region in which the interventions are proposed, and demonstrate a potential to achieve impact;
- Use of a situational analysis to assess the risk of, vulnerability to, and impact of the three diseases on women and girls, as well as boys and men, and adopts appropriate programmatic responses, empowers women, girls and youth, promotes gender equity, addresses the structural and cultural factors that increase risk and vulnerability, and contributes to changing harmful gender norms;
- Give due priority and appropriately target with programs to key affected populations, including, although not restricted to, injection drug users, men who have sex with men, transgender communities, sex workers, migrants and prisoners;
- Give due priority to strengthening the participation of communities and people infected and affected by the three diseases in the development and implementation of proposals;
- Demonstrate that interventions chosen are evidence-based;
- and Show that interventions represent good value for money (which can be defined as using the most cost effective interventions, as appropriate, to achieve the desired results);
- Involve a broad range of stakeholders in implementation, including strengthening partnerships between government, civil society, affected communities, and the private sector;
- Address issues of human rights and gender equity and use human-rights based approaches to address the three diseases, including by contributing to the elimination of stigmatization of and discrimination against those infected and affected by tuberculosis and HIV/AIDS, especially populations that are marginalized or criminalized, such as injection drug users, men who have sex with men, and transgender communities, sex workers and other key affected populations; and
- Are consistent with ~~national law and applicable~~ international obligations, such as those arising under World Trade Organization's Agreement on Trade-Related Aspects of Intellectual Property Rights (the TRIPS Agreement), including the Doha Ministerial Declaration on the TRIPS Agreement and Public Health, and encourage efforts to make quality drugs and products available at the lowest possible prices for those in need while respecting the protection of intellectual property rights.

Feasibility:

- Provide strong evidence of the technical and programmatic feasibility of implementation arrangements relevant in the specific country context, including where appropriate, supporting decentralized interventions and/or participatory approaches (including those involving the public, private and non-government sectors, and communities affected by the diseases) to disease prevention and control;
- Build on, complement, and coordinate with existing programs (including those supported by existing Global Fund grants) in support of national policies, plans, priorities and partnerships, including National Health Sector Development Plans, Poverty Reduction Strategies and sector-wide approaches (where appropriate);

- Demonstrate successful implementation of programs previously funded by international donors (including the Global Fund), and, where relevant, efficient disbursement and use of funds. (For this purpose, the TRP will make use of Grant Score Cards, Grant Performance Reports and other documents related to previous grant(s) in respect of Global Fund supported programs);
- Utilize innovative approaches to scaling up prevention, treatment and care and support programs, including to key affected populations, such as through the involvement of the private sector and/or affected communities as caregivers in service delivery and/or community strengthening;
- Identify in respect of previous proposals for the same component submitted to the Global Fund through the Rounds-based channel but not approved, how this proposal addresses any weaknesses or matters for clarification that were raised by the TRP;
- ~~Identify for proposals submitted through the Rolling Continuation Channel, how his proposal addresses the implementation challenges and sustainability issues identified by the Secretariat during the Rolling Continuation Channel qualification process;~~
- Focus on performance by linking resources (inputs) to the achievement of outputs (people reached with key services) and outcomes (longer term changes in the disease), as measured by qualitative and quantitative indicators;
- Demonstrate how the proposed interventions are appropriate to the stage of the epidemic and to the specific epidemiological situation in the country and are appropriately targeted to communities most affected (including issues such as drug resistance and gender differentiated vulnerability and impact);
- Build on and strengthen country impact measurement systems and processes to ensure effective performance based reporting and evaluation; and
- Identify and address potential gaps in technical and managerial capacities in relation to the implementation of the proposed activities through the provision of technical assistance and capacity building.

Potential for sustainability and impact:

- Strengthen and reflect high-level, sustained political involvement and commitment, and an enabling policy and legal environment, including through an inclusive and well-governed CCM, Sub-CCM or RCM;
- Demonstrate that Global Fund financing will be additional to existing efforts to combat HIV/AIDS, tuberculosis, and malaria, rather than replacing them;
- Demonstrate the potential for the sustainability of the approach outlined, including addressing the capacity to absorb increased resources and the ability to absorb recurrent expenditures;
- Coordinate with multilateral and bilateral initiatives and partnerships (such as the WHO/UNAIDS “Universal Access” initiative, the Stop TB Partnership, the Roll Back Malaria Partnership, the “Three Ones” principles¹² and UNICEF’s “Unite for Children. Unite against AIDS” campaign) towards the achievement of outcomes targeted by National Health Sector Development Plans (where they exist);
- Demonstrate that the proposal will contribute to reducing overall disease, prevalence, incidence, morbidity and/or mortality; ~~and~~
- Demonstrate how the proposal will contribute to strengthening the national health system in its different components (e.g., human resources, service delivery, infrastructure, procurement and supply management);

¹² One agreed HIV/AIDS action framework that provides the basis for coordinating the work of all partners, one national AIDS coordinating authority with a broad-based multi-sectoral mandate, and one agreed country-level monitoring and evaluation system. See www.unaids.org for more information. Proposals addressing HIV/AIDS should indicate how these principles are put into practice.

- Demonstrate how the proposal will contribute to the sustained strengthening of civil society and community systems in its different components (e.g. management capacity, service delivery and infrastructure) with an emphasis on key affected populations.
- Demonstrate how continuous process and impact monitoring and evaluation will be implemented in order to improve on-going actions and determine overall program impacts.

Attachment 2: Recommendation Categories of the TRP

Part 1 - Recommendation categories relevant to Rounds-based channel proposals

Category	Description of Recommendation
1	Recommended for funding with no or only minor clarifications, to be met within eight ¹³ weeks of receipt of notice to the applicant of the Board decision on funding, as evidenced by the documented final approval of the TRP Chair or TRP Vice-Chair.
2	Recommended for funding provided that adjustments and clarifications are met within a limited timeframe, as evidenced by the documented final approval of the TRP Chair or TRP Vice Chair (based on consultations with the primary and secondary reviewer). The applicable timeframe is that the initial reply to any clarifications or adjustments must be received by the Global Fund within six weeks of the applicant's receipt of notice the Board decision on funding, and any further adjustments and clarifications should be completed within three months of the Global Fund's receipt of the initial reply from the applicant. <i>As a subset of Recommended Category 2 Proposals, 'Recommended Category 2B Proposals' - Proposals identified at the request of the Board to allow for a situation in which there are insufficient funds to meet the commitments required to fund all of the Recommended Category 1 Proposals and Recommended Category 2 Proposals. Recommended Category 2B Proposals are relatively weak 'Recommended Category 2 Proposals', on grounds of technical merit and/or issues of feasibility and likelihood of effective implementation. The same timeframe for clarifications applies to these proposals as for Recommended Category 2 Proposals</i>
3	Not recommended for funding in its present form but encouraged to resubmit a revised version of the same proposal, taking into account the issues raised by the TRP, for consideration in the next round of proposals ¹⁴ .
4	Rejected.

¹³ The deadline for Category 1 proposals have been extended from four to eight weeks in line with the Board Decision GF/B18/DP14

¹⁴ Amended in line with the Board Decision GF/B18/DP19 (applicable commencing with Round 10)

~~Part 2 – Recommendation categories relevant to Rolling Continuation Channel proposals~~

Category	Description of Recommendation
1	Recommended for funding with no clarifications.
2	Recommended for funding provided that adjustments and clarifications are met within a limited timeframe, as evidenced by the documented final approval of the TRP Chair or TRP Vice Chair (based on consultations with the primary and secondary reviewer). The applicable timeframe is that the initial reply to any clarifications or adjustments must be received by the Global Fund within four weeks of the applicant's receipt of notice the Board decision on funding, and any further adjustments and clarifications should be completed within two months of the Global Fund's receipt of the initial reply from the applicant.
3A (Applicable only upon initial submission)	Not recommended for funding based on technical merit but strongly encouraged to resubmit a revised proposal, taking into account the issues raised by the TRP, for consideration in the next wave of Rolling Continuation Channel proposals.
3B (Applicable only upon re-submission)	Not recommended for funding based on technical merit but encouraged to resubmit through the Rounds-Based Channel following major revision.
4	Materially different and rejected as a Rolling Continuation Channel proposal.

Part 3.2 - Recommendation categories during the Phase 2 Revised Go Request review process

Category	Description of Recommendation
Go	Recommended commitment of additional resources.
Conditional Go	Recommended commitment of additional resources provided that certain time bound conditions are met, or provided that adjustments to the Revised Go Request for Continued Funding are provided within a limited timeframe set by the TRP as evidenced by final approval of the TRP Chair or TRP Vice Chair.
No Go	Recommended discontinuation of funding.