

36th Board Meeting

## TRP Matters

For Board Information

GF/B36/13

Montreux, Switzerland

16-17 November 2016

# TRP Matters

2016 - 2017: Updates and outlook

- **TRP the self-assessment**
  - > Actions resulting from the self-assessment are on track to be completed.
- **Revised terms of reference**
  - > Updated TORs, aligned with the new strategy, have been approved by the SC.
- **TRP recruitment and new TRP pool**
  - > Recruitment for the TRP was successful and the SC approved 117 new TRP members, whose areas of expertise are aligned with the new strategy.
- **Lessons learned from the 2014-2016 allocation period**
  - > The TRP provided input into priorities for catalytic investments, evolution of UQD, differentiated review modalities and differentiated application materials.

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# TRP Self-Assessment

## Updates on key findings

	Key actions	Status	Target date
<p><b>Maintain what has worked well,</b> building on achievements and lessons learned in line with TRP mandate</p>	<p><b>Iterations and clarifications:</b> Develop more dynamic and tailored approaches to iteration and clarifications, including clear criteria and rationales, and remote reviews as appropriate and delegation of issues to Secretariat and partners.</p>	Pending	Q1 2017
	<p><b>Catalytic investments:</b> Develop review approach and methodology to operationalize catalytic investments and above allocation requests.</p>	On track	Q4 2016
	<p><b>Information Management:</b> Strengthen analytical capacity of TRP for synthesis of information from concept note reviews and generation of evidence to support recommendations and lessons learned.</p>	Ongoing	Q1 2017

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# TRP Self-Assessment

## Updates on key findings

	Key actions	Status	Target date
<p><b>Further strengthen areas within identified opportunities and challenges, based on feedback from stakeholders (1/2)</b></p>	<p><b>Expand TRP expertise:</b> Review overall membership composition, taking into account experience and capacity of TRP members and expanding expertise in line with GF Strategy.</p>	Completed	Q4 2016
	<p><b>Onboarding:</b> Develop more systematic approach to the onboarding and training of TRP members to ensure quality and consistency.</p>	Ongoing	Q1 2017
	<p><b>TRP operating procedures:</b> Develop operating procedures manual to document review modalities, support quality and consistency of review of funding requests, and enhance institutional memory.</p>	Ongoing	Q1 2017
	<p><b>TRP review meetings:</b> Adapt and refocus TRP review meetings around larger, more complex funding requests, with sufficient time for review and dialogue with the Secretariat and partners, while safeguarding independence.</p>	Ongoing	Q1 2017
	<p><b>Technical partner engagement:</b> Define new entry points for constructive dialogue and engagement with technical partners to maximize effectiveness and impact.</p>	Pending	Q1 2017
	<p><b>Performance monitoring and assessment:</b> Develop framework to monitor performance and guide annual performance, as well of structured mechanism for collecting and communicating individual TRP member performance.</p>	Pending	Q1 2017

# TRP Self-Assessment

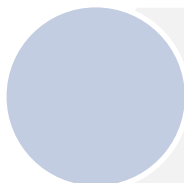
## Updates on key findings

	Key actions	Status	Target date
<p><b>Evolve and make adjustments</b> to further improve effectiveness and alignment with the strategy and allocation</p>	<p><b>Revise terms of reference:</b> Under SC oversight, revised TRP TORs to align with 2017-2022 strategy, 2017-2019 allocation methodology, and core guiding principles of differentiation.</p>	Completed	Q4 2016
	<p><b>Differentiated review process and materials:</b> Differentiate review materials and process/approaches in line with clear criteria jointly developed with Secretariat, with input from SC.</p>	On track	Q4 2016
	<p><b>Feedback mechanisms:</b> Develop internal mechanisms to address challenging cases in line with normative guidance. Develop systematic feedback loop from applicants and partners on quality of technical recommendations. Ensure ongoing feedback loop with GAC.</p>	Pending	Q1 2017

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# Revised TRP terms of reference

## Key revisions



The **TRP's terms of reference align with the 2017-2022 Strategy, 2017-2019 allocation methodology** and relevant Global Fund policies (STC and COE), while ensuring that the TRP performs its functions consistent with achievement of the goals and objectives of the Strategy.



In line with the TERG recommendations, the TORs allow the TRP to **differentiate review modalities** in line with the core guiding principles of differentiation adopted by the Strategy Committee at its June 2016 meeting (GF/SC01/DP03).



The technical **review criteria of the TRP are revised** in line with the 2017-2022 Strategy, "The TRP Review Criteria", to guide the technical assessment of requests for funding made to the Global Fund.

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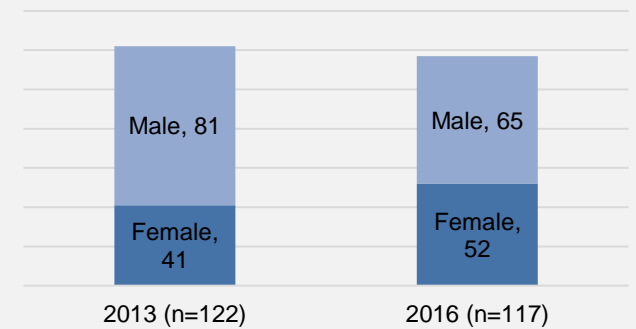
# 2016 TRP Recruitment

## Overview of the outcomes

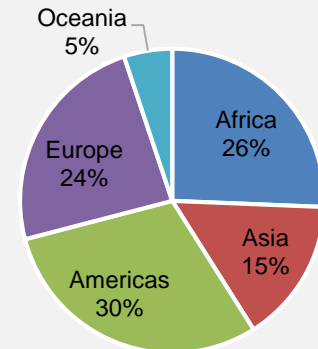
The 2016 TRP recruitment:

- Addressed the **need for new members**, as the service period for many TRP members ends in 2016.
  - Expands the range of expertise on the TRP to **align with the new strategy**, including human rights and gender, strategic investments and sustainable financing, and RSSH.
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- From the **117** recommended candidates, **49 nationalities** are represented from all regions of the world.
  - Gender is more balanced in 2016 with 44% women compared to 34% in 2013 TRP recruitment.
  - The recruitment process allowed for the selection of the strongest candidates for the TRP pool taking into consideration core expertise areas, geographic expertise and language skills.
  - Some geographical sub-regions are still somewhat underrepresented.

Breakdown of recommended members by gender



Breakdown of recommended members by region



# Lessons learned from the 2014-2016 allocation period

Above allocation: Unfunded quality demand, incentive funding and catalytic investments

## 2014-2016 allocation period

In spite of the complexities and limitations observed around 'full expression of demand' we found that identification and registration of **unfunded quality demand** were useful in the 2014-2016 allocation period:

- Used to determine uses for incentive funding
- Identified priority areas in which efficiencies could be used during grant-making and implementation
- Allowed for external investors to select areas to finance, including private sector investors and Debt2Health
- Used to inform program optimization to maximize use and input of available funds

## 2017-2019 allocation period

The **Register of Unfunded Quality Demand** can continue to be a useful tool in the 2017-2019 allocation cycle by:

- Identifying priority areas for efficiencies
- Encouraging all applicants to submit a prioritized above allocation request

The TRP will continue to review funding requests and will develop a methodology to review prioritized above allocation and catalytic investment request in accordance with the framework approved by the SC.

The TRP provided input on priority interventions to be financed by catalytic investments. The TRP would like to caution against creating complexities in accessing catalytic funding.



# Lessons learned from the 2014-2016 allocation period

## Differentiation

### 2014-2016 allocation period

In the 2014-2016 funding cycle of the allocation-based funding model, applicants submitted a **one-size-fits-all** funding requests.

The TRP:

- Identified the need to differentiate funding requests;
- Began differentiating reviews through simplified and COE applications.

### 2017-2019 allocation period

In the 2017-2019 funding cycle:

- Applicants will submit differentiated, **right-sized** funding requests, based on inputs on application materials by the TRP including mock reviews;
- The TRP pool includes a **broader variety of experts** in order to tailor the expertise to funding requests appropriately;
- The TRP will conduct differentiated reviews and work with the Secretariat and partners to ensure greater focus on impact and implementation.

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# Lessons learned from the 2014-2016 allocation period

## Differentiation: Overview of application and review modalities

### Program continuation: streamlined process for program continuation

- Focused and Core country components with less than 2 years of implementation (High Impact considered on case-by-case basis); or
- Focused and Core country components with demonstrated performance and no material change needed (High Impact considered on case-by-case basis);

**Note:** To maximize impact against the diseases, program continuation components **may in addition reprogram at any time** (during grant making or grant implementation) & OPN on reprogramming will apply.

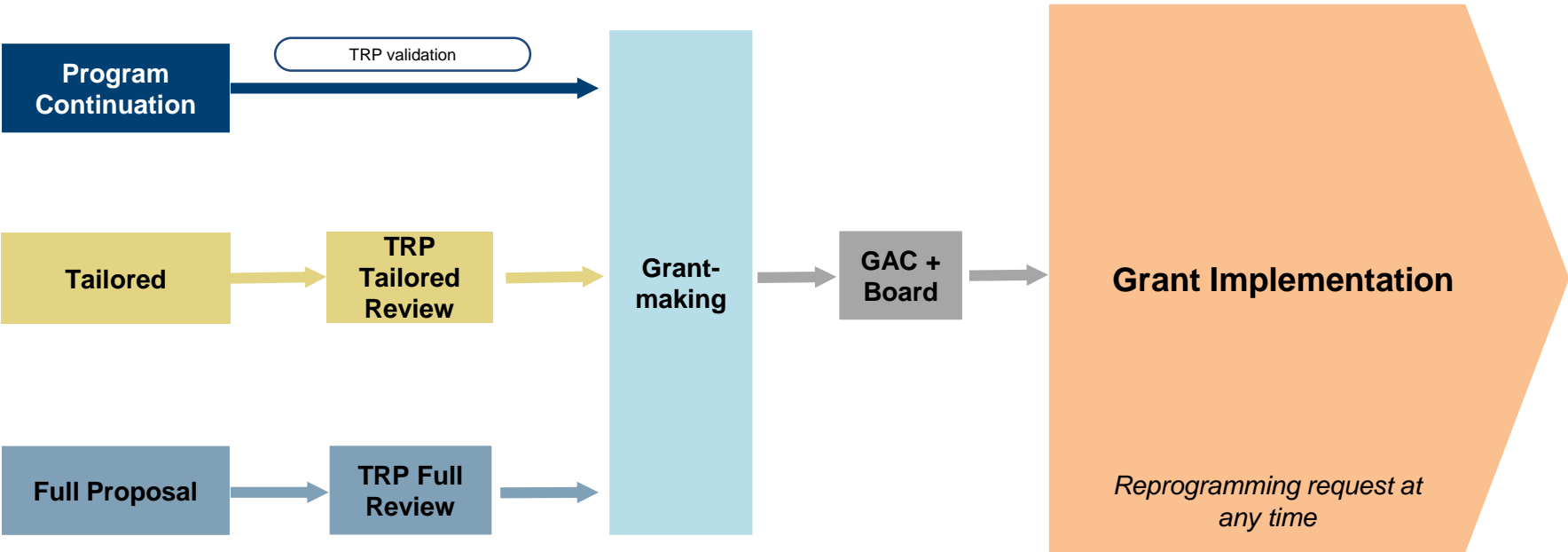
### Tailored Review: tailored to the objective and to the applicant type

- Country components requiring material change in defined programmatic area(s); or
- Country components receiving Transition Funding or otherwise using a transition work plan as basis for their funding request
- Challenging operating environments (COE) country components with material change; or
- Learning opportunities (e.g. national strategy pilot, results-based financing, etc.)

### Full Review: comprehensive overall review of investment approach and strategic priorities

- High Impact country components
- Focused and Core country components referred to full review
- Country components not reviewed by the TRP in the previous allocation period

# Differentiated application and review process: 3 approaches



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**Implementation ongoing throughout grant lifecycle**

# Lessons learned from the 2014-2016 allocation period

## Differentiation: Justification for continuing the course

### Secretariat assessment

- Allocation and funding landscape
- Results and performance (*programmatic and financial*)
- Risk considerations
- Progress on TRP/GAC and Board recommendations
- STC requirements (*e.g. application focus, co-financing*)

### Applicant assessment

- Epidemiological contextual updates
- NSP revisions and updates
- Investing to maximize impact towards ending the epidemics (*noting choice of interventions, normative guidance, new technologies, etc.*)
- Effectiveness of implementation approaches
- Funding landscape and STC
- Ensuring RSSH & Human Rights and Gender in line with Strategy

TRP assesses the two sources of information and provides recommendations

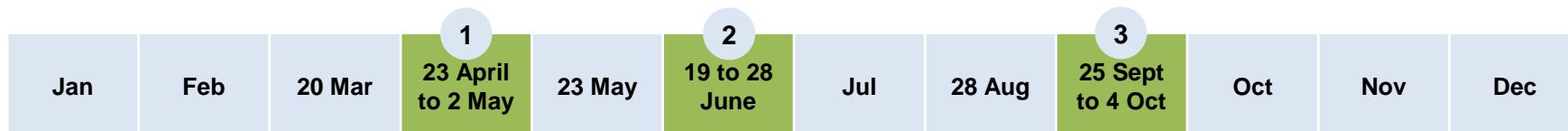
# TRP outlook for 2017

## Upcoming work

In accordance with the terms of reference, the TRP will:

- > Review funding requests through the three windows set below
- > Continue in its advisory role to the Global Fund
- > Report back to the Global Fund Secretariat and Board, as well as applicants and partners

### 2017 TRP review windows



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# TRP Matters

## Summary

- The terms of reference and membership of TRP have been updated and strengthened to fit the 2017 - 2022 Strategy.
- The TRP will use the current period without review windows to continue optimizing its procedures and functioning.
- Discussions remain ongoing with the Secretariat on the formats for the differentiated review modalities and for operationalization of catalytic funding.