



Investing in our future

# The Global Fund

To Fight AIDS, Tuberculosis and Malaria

Sixteenth Board Meeting  
Kunming, China, 12 -13 November 2007

GF/B16/5  
Decision  
Revision 1

## REPORT OF THE TECHNICAL REVIEW PANEL AND THE SECRETARIAT ON ROUND 7 PROPOSALS

### OUTLINE:

1. This report summarizes the outcomes of the Round 7 proposals processes, including the deliberations of the Technical Review Panel during its meeting from 26 August 2007 to 7 September 2007, and includes funding recommendations for the Board to consider at its Sixteenth Board meeting.

### EXECUTIVE SUMMARY:

1. This report provides the Board and other stakeholders of the Global Fund with an overview of the Round 7 proposals process, including determinations made by the Secretariat on the eligibility of certain proposals, the proposal screening process, and recommendations of the Technical Review Panel (TRP) in regard to 150 eligible proposals forwarded to the TRP for evaluation.

2. In Round 7, the TRP recommends 73 component proposals (49% of all eligible proposals) for funding in 67 countries, with a combined maximum upper ceiling of:

1. US\$ 2.758 billion over the proposal lifetime (up to five years); and
2. US\$ 1.117 billion over Phase 1 (initial two years).

3. These amounts are significantly higher than the equivalent amounts for Round 6. The rate of 49% of proposals being recommended for funding is also significantly higher than Round 6 (43%). The table below provides a summary of the TRP's recommendations by disease, with more detailed analysis on trends, and the regional breakdown of components being provided in parts 4 and 5 of this report.

	Proportion of components recommended	Number of components recommended	Phase 1 US\$ Upper Ceiling	Proportion of Phase 1 amount
HIV/AIDS	41%	26	537 m	48%
Tuberculosis	46%	19	111 m	10%
Malaria	62%	28	469 m	42%
Total		73	1,117 m	100%

4. Round 7 demonstrates a significant increase in the number of malaria proposals recommended for funding compared to both Round 5 (23%) and Round 6 (32%). The proportion of HIV/AIDS component proposals recommended for funding remains similar

to prior Rounds, at 41% of all HIV/AIDS components. The proportion of tuberculosis components recommended for funding in Round 7 was 46% (consistent with Rounds 4 and 5, and less than the Round 6 rate of 62%).

5. The report also provides an overview of the number and value of health systems strengthening strategic actions included by applicants in Round 7 proposals. Overall, 113 of the 150 eligible components identified HSS strategic actions as part of the overall proposal framework. Of the US\$ 1,117 million upper ceiling Phase 1 amount recommended for funding, HSS strategic actions account for US\$ 186 million.

6. The report concludes with a review of the membership of the Round 7 TRP, and recommendations from the panel to the Board and Secretariat for future Rounds.

**Decision Point 1:**

***1. The Board approves for funding for an initial two years, subject to paragraphs 3 and 4 below, the Round 7 proposals recommended for funding by the Technical Review Panel (TRP) and listed in Annex 2 to the Report of the TRP and Secretariat on Round 7 Proposals (GF/B16/5) as:***

- i. 'Category 1';***
- ii. 'Category 2'; and***
- iii. 'Category 2B',***

***with the clear understanding that the grant amounts requested for the "Phase 1 Period" in such Annex are maximum upper ceilings subject to TRP clarifications and grant negotiations rather than final approved grant amounts.***

***2. The applicants whose proposals are recommended for funding as 'Category 1' shall conclude the TRP clarifications process, as indicated by the written approval of the Chair and/or Vice Chair of the TRP, not later than four weeks after receipt of notification in writing by the Secretariat to the applicant of the Board's decision.***

***3. The applicants whose proposals are recommended for funding as 'Category 2 and Category 2B' shall:***

- i. provide an initial detailed written response to the requested TRP clarifications and adjustments by not later than six weeks after receipt of notification in writing by the Secretariat to the applicant of the Board's decision; and***
- ii. conclude the TRP clarifications process, as indicated by the written approval of the Chair and Vice Chair of the TRP, not later than three months from the Secretariat's receipt of the applicant's initial detailed response to the issues raised for clarification and/or adjustment.***

**4. The Board declines to approve for funding those proposals categorized by the TRP as 'Category 3' as indicated in Annex 2 to GF/B16/5, although such applicants are encouraged to resubmit a proposal in a future funding round after major revision of the relevant proposal.**

**5. The Board declines to approve for funding those proposals categorized by the TRP as 'Category 4' as indicated in Annex 2 to GF/B16/5.**

**This decision does not have material budgetary implications (beyond what is already included in the budget).**

**Decision Point 2:**

**The Board acknowledges the lessons learned by the TRP and Secretariat during the Round 7 proposals process as presented in the Report of Technical Review Panel and the Secretariat on Round 7 Proposals (GF/B16/5), and requests the Portfolio Committee to include appropriate revisions to the Proposal Form and Guidelines for Round 8 by 1 March 2008.**

**This decision does not have material budgetary implications.**