



Investing in our future

**The Global Fund**

To Fight AIDS, Tuberculosis and Malaria

Twelfth Board Meeting  
Marrakech, 15-16 December 2005

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GF/B12/12

## **ROUND 5 FUNDING – UPDATE BASED ON FORECAST OF RESOURCES AVAILABLE IN 2005**

**Outline:** Additional resources are now available in 2005 sufficient to fund further tranches of Round 5 proposals recommended for funding by the Technical Panel Review (“TRP”). This paper presents the updated position and seeks a Board decision to approve for funding all remaining Round 5 proposals in Category 2A, in total amounting to \$235,380,520 over two years.

### **Decision Points:**

1. The Board approves for funding, subject to paragraph 2 below, the proposals recommended by the Technical Review Panel (“TRP”) at the eleventh Board Meeting and listed in Annex VI to the Report of the TRP and the Secretariat on Round 5 Proposals (GF/B11/6) and as further listed in Annex I hereto as:

- Recommended 2A Proposals with Composite index 5.
- Recommended 2A Proposals with Composite index 4.
- Recommended 2A Proposals with Composite index 3.

The Board’s approval is for the amount indicated as ‘Total 2 Years’ in such Annex, and is made with the clear understanding that such amounts are upper ceilings rather than final Phase 1 Grant amounts.

2. The proposal applicants shall provide an initial reply to the clarifications requested by the TRP no later than six weeks after notification in writing by the Secretariat to the applicant of the Board’s decision. Any further adjustments and clarifications shall be completed within four months from the receipt of the initial reply from the applicant. The Board’s approval is conditional on the final approval of the TRP Chair and/or Vice Chair based on consultations with the TRP primary and secondary reviewers.

The budgetary implications for this decision point are US\$235,380,520 over two years.

## Part 1: Introduction

1. At its Eleventh meeting, the Board approved for funding, subject to clarifications sought by the TRP, Round 5 Proposals recommended by the TRP which were listed as Category 1, Category 2A with Composite index 8 and Category 2A with Composite index 6.
2. It was also agreed by the Board that the remaining proposals recommended for funding by the TRP as 'Category 2' will be approved (subject to clarifications sought by the TRP and no later than June 30, 2006) as funds become available under the terms of the Comprehensive Funding Policy. This approval was to be granted through Board confirmation by email.
3. The latest forecast of resources available now indicates that additional funds are available in 2005. Consequently, and given that a Board meeting is imminent, this paper seeks a decision to approve the additional tranches of proposals at the twelfth Meeting instead of by way of email confirmation.

## Part 2: Updated Forecast of Resources Available in 2005

1. The financial forecast for 2005, contained within the Board paper Forecast of Resources Available in 2006 (GF/B12/10) provides an update of estimated funds available in 2005 to meet further approvals of Round 5 proposals.
2. Since the date of submission of the Board paper GF/B12/10, the estimate of funds available in 2005 has been updated for latest available information.
3. After allowing for Phase 2 renewals in 2005, which rank for funding in priority to new grants in accordance with the Comprehensive Funding Policy, the amount now available for further Round 5 approvals is \$278 million.

## Part 3: Round 5 Proposals Submitted for Funding

1. Based upon the updated financial forecast for 2005, it is now possible to fund all remaining proposals classified under Category 2A.
2. The proposals that can now be funded are as follows:

	Total 2 years (\$)
'Recommended 2A Proposals Composite index 5':	133,276,403
'Recommended 2A Proposals Composite index 4':	9,529,070
'Recommended 2A Proposals Composite index 3':	<u>92,575,047</u>
	<u>235,380,520</u>

3. Round 5 proposals still remaining to be funded are those classified in Category 2B and in total amount to \$108,158,607.
4. Residual available funds in 2005, after funding \$235,380,520 in respect of the proposals categories identified in Part 3 Paragraph 2 above, is approximately \$42 million.

**Decision Points:**

**1. The Board approves for funding, subject to paragraph 2 below, the proposals recommended by the Technical Review Panel (“TRP”) at the Eleventh Board Meeting and listed in Annex VI to the Report of the TRP and the Secretariat on Round 5 Proposals (GF/B11/6) and as further listed in Annex I hereto as:**

- Recommended 2A Proposals with Composite index 5.**
- Recommended 2A Proposals with Composite index 4.**
- Recommended 2A Proposals with Composite index 3.**

**The Board’s approval is for the amount indicated as ‘Total 2 Years’ in such Annex, and is made with the clear understanding that such amounts are upper ceilings rather than final Phase 1 Grant amounts.**

**2. The proposal applicants shall provide an initial reply to the clarifications requested by the TRP no later than six weeks after notification in writing by the Secretariat to the applicant of the Board’s decision. Any further adjustments and clarifications shall be completed within four months from the receipt of the initial reply from the applicant. The Board’s approval is conditional on the final approval of the TRP Chair and/or Vice Chair based on consultations with the TRP primary and secondary reviewers.**

The budgetary implications for this decision point are US\$235,380,520 over two years.

This document is part of an internal deliberative process of the Fund and as such cannot be made public. Please refer to the Global Fund’s documents policy for further guidance.

## Prioritization among TRP Recommended proposals

No.	Proposal ID	Source	Country	WB	Component	BUDGET			Requested Yr 1	Requested Yr 2	Total 2 Years	
						Requested Yr 1	Requested Yr 2	Total 2 Years				
<b>Category 1</b>												
1	38	CCM	Azerbaijan	Lower-middle	Tuberculosis				\$25,194,809	\$18,115,628	\$43,310,437	
2	77	CCM	Ghana	Low	Tuberculosis				\$1,493,514	\$2,332,256	\$3,825,770	
3	108	CCM	Guinea	Low	Tuberculosis				\$8,987,907	\$5,559,639	\$14,547,546	
4	17	CCM	Namibia	Lower-middle	Tuberculosis				\$2,055,814	\$1,335,687	\$3,391,501	
5	21	CCM	Rwanda	Low	HSS				\$4,343,668	\$2,879,085	\$7,222,753	
<b>Recommended 1 Proposals</b>									\$8,313,906	\$6,008,961	\$14,322,867	
											\$43,310,437	
No.	Proposal ID	Source	Country	WB Classification	Component	Criteria	Criteria	Composite index	BUDGET			Cumulative
									Requested Yr 1	Requested Yr 2	Total 2 Years	
<b>Category 2A</b>												
						Disease Burden	Poverty		\$287,828,156	\$289,649,936	\$1,062,381,137	
1	29	CCM	Bangladesh	Low	Tuberculosis	4	4	8	\$5,235,919	\$4,768,065	\$10,003,984	
2	36	CCM	Cambodia	Low	Tuberculosis	4	4	8	\$1,608,109	\$1,660,641	\$3,268,750	
3	41	CCM	Cameroon	Low	Malaria	4	4	8	\$7,205,278	\$5,490,607	\$12,695,885	
4	41	CCM	Cameroon	Low	HIV/AIDS	4	4	8	\$2,635,774	\$2,307,816	\$4,943,590	
5	83	Non-CCM	Cote D'Ivoire	Low	HIV/AIDS	4	4	8	\$1,910,193	\$1,612,502	\$3,522,695	
6	106	CCM	Democratic Republic of Congo	Low	Tuberculosis	4	4	8	\$6,683,912	\$7,915,022	\$14,598,934	
7	110	CCM	Haiti	Low	HIV/AIDS	4	4	8	\$9,591,082	\$9,614,485	\$19,205,567	
8	76	CCM	Lesotho	Low	HIV/AIDS	4	4	8	\$4,546,708	\$5,466,675	\$10,013,383	
9	194	CCM	Malawi	Low	HIV/AIDS	4	4	8	\$3,175,015	\$4,595,640	\$7,770,655	
10	194	CCM	Malawi	Low	HSS	4	4	8	\$12,143,782	\$14,821,742	\$26,965,524	
11	140	CCM	Niger	Low	Malaria	4	4	8	\$2,875,539	\$2,265,895	\$5,141,434	
12	78	CCM	Nigeria	Low	Tuberculosis	4	4	8	\$13,670,413	\$11,899,684	\$25,570,097	
13	78	CCM	Nigeria	Low	HIV/AIDS	4	4	8	\$19,753,385	\$26,670,898	\$46,424,283	
14	21	CCM	Rwanda	Low	Malaria	4	4	8	\$16,412,756	\$11,728,016	\$28,140,772	
15	213	Sub-CCM	Sudan	Low	Tuberculosis	4	4	8	\$3,749,017	\$4,843,180	\$8,592,197	
16	48	CCM	Zimbabwe	Low	Tuberculosis	4	4	8	\$6,716,826	\$3,370,450	\$10,087,276	
17	48	CCM	Zimbabwe	Low	Malaria	4	4	8	\$9,779,800	\$11,437,669	\$21,217,469	
18	48	CCM	Zimbabwe	Low	HIV/AIDS	4	4	8	\$15,996,545	\$19,934,614	\$35,931,159	
<b>Recommended 2A Proposals with Composite index 8</b>											\$294,093,654	
<b>Total Recommended 1 and 2A(8)</b>												\$337,404,091
1	129	CCM	Brazil	Lower-middle	Tuberculosis	4	2	6	\$5,028,280	\$6,574,147	\$11,602,427	
2	105	CCM	China	Lower-middle	Tuberculosis	4	2	6	\$7,822,000	\$9,992,000	\$17,814,000	
3	61	CCM	Indonesia	Lower-middle	Tuberculosis	4	2	6	\$7,268,936	\$11,318,555	\$18,587,491	
<b>Recommended 2A Proposals with Composite index 6</b>											\$48,003,918	
<b>Total Recommended 1, 2A(8) and 2A(6)</b>												\$385,408,009
1	149	CCM	Afghanistan	Low	Malaria	1	4	5	\$7,825,177	\$9,268,157	\$17,093,334	
2	107	CCM	Benin	Low	HIV/AIDS	1	4	5	\$10,199,338	\$9,509,716	\$19,709,054	
3	36	CCM	Cambodia	Low	HIV/AIDS	1	4	5	\$8,365,984	\$7,926,795	\$16,292,779	
4	71	CCM	East Timor	Low	HIV/AIDS	1	4	5	\$2,329,960	\$1,974,494	\$4,304,454	
5	116	CCM	Eritrea	Low	HIV/AIDS	1	4	5	\$7,197,365	\$5,941,645	\$13,139,010	
6	77	CCM	Ghana	Low	HIV/AIDS	1	4	5	\$13,194,330	\$18,436,500	\$31,630,830	
7	104	CCM	Kyrgyzstan	Low	Malaria	1	4	5	\$933,345	\$759,045	\$1,692,390	
8	109	CCM	Mauritania	Low	HIV/AIDS	1	4	5	\$3,564,790	\$3,020,183	\$6,584,973	
9	20	CCM	Mongolia	Low	HIV/AIDS	1	4	5	\$1,085,448	\$813,327	\$1,898,775	
10	140	CCM	Niger	Low	Tuberculosis	1	4	5	\$4,064,840	\$2,261,230	\$6,326,070	
11	90	CCM	Republic of Congo	Low	HIV/AIDS	1	4	5	\$5,513,593	\$6,529,814	\$12,043,407	
12	94	CCM	The Gambia	Low	Tuberculosis	1	4	5	\$1,744,338	\$816,989	\$2,561,327	
<b>Recommended 2A Proposals with Composite index 5</b>											\$133,276,403	
<b>Total Recommended 1, 2A(8), 2A(6) and 2A(5)</b>												\$518,684,412
1	52	CCM	Botswana	Upper-middle	Tuberculosis	4	0	4	\$4,005,231	\$1,510,669	\$5,515,900	
2	137	CCM	Gabon	Upper-middle	Malaria	4	0	4	\$1,204,799	\$2,808,371	\$4,013,170	
<b>Recommended 2A Proposals with Composite index 4</b>											\$9,529,070	
<b>Total Recommended 1, 2A(8), 2A(6), 2A(5) and 2A(4)</b>												\$528,213,482
1	72	CCM	Albania	Lower-middle	Tuberculosis	1	2	3	\$480,732	\$396,953	\$877,685	
2	72	CCM	Albania	Lower-middle	HIV/AIDS	1	2	3	\$1,224,908	\$1,277,950	\$2,502,858	
3	96	CCM	Bosnia Herzegovina	Lower-middle	HIV/AIDS	1	2	3	\$2,606,801	\$2,225,586	\$4,832,387	
4	105	CCM	China	Lower-middle	HIV/AIDS	1	2	3	\$6,196,600	\$6,347,528	\$12,544,128	
5	105	CCM	China	Lower-middle	Malaria	1	2	3	\$10,758,696	\$9,337,453	\$20,096,149	
6	30	CCM	Jordan	Lower-middle	Tuberculosis	1	2	3	\$533,800	\$539,064	\$1,072,864	
7	53	CCM	Macedonia	Lower-middle	Tuberculosis	1	2	3	\$925,949	\$516,540	\$1,442,489	
8	113	CCM	Montenegro	Lower-middle	HIV/AIDS	1	2	3	\$963,012	\$641,594	\$1,604,606	
9	172	CCM	Peru	Lower-middle	Tuberculosis	1	2	3	\$13,265,844	\$7,751,693	\$21,017,537	
10	172	CCM	Peru	Lower-middle	HIV/AIDS	1	2	3	\$5,752,802	\$4,122,094	\$9,874,896	
11	147	CCM	Philippines	Lower-middle	HIV/AIDS	1	2	3	\$1,319,769	\$1,692,150	\$3,011,919	
12	147	CCM	Philippines	Lower-middle	Malaria	1	2	3	\$7,161,436	\$3,936,093	\$11,097,529	
13	19	CCM	Suriname	Lower-middle	HIV/AIDS	1	2	3	\$1,600,000	\$1,000,000	\$2,600,000	
<b>Recommended 2A Proposals with Composite index 3</b>											\$92,575,047	
<b>Total Recommended 1, 2A(8), 2A(6), 2A(5), 2A(4) and 2A(3)</b>												\$620,788,529

Prioritization according to Board Policy applicable in Resource Constrained Environments. Please Refer to Policy from 8th Board Meeting (GF/B8/2)

\* Used a weighted average for poverty index as the current policy does not address Multi-country situations and rounded up.

Category 2B								\$63,445,701	\$44,712,906	\$108,158,607		
1	73	CCM	Burundi	Low	HIV/AIDS	4	4	8	\$7,616,818	\$5,437,048	\$13,053,866	
2	36	CCM	Cambodia	Low	HSS	4	4	8	\$841,400	\$1,000,200	\$1,841,600	
3	56	CCM	Ethiopia	Low	Malaria	4	4	8	\$40,803,871	\$23,745,042	\$64,548,913	
4	74	CCM	Kenya	Low	Tuberculosis	4	4	8	\$4,360,602	\$3,553,053	\$7,913,655	
<b>Recommended 2B Proposals with Composite index 8</b>										<b>\$87,358,034</b>		
<b>Total Recommended 1, 2A(8), 2A(6), 2A(5), 2A(4), 2A(3) and 2B(8)</b>												<b>\$708,146,563</b>
1	155	RCM*	Solomon Islands, Vanuatu	Low, Lower-middle	Malaria	4	3	7	\$2,088,573	\$1,181,158	\$3,269,731	
<b>Recommended 2B Proposals with Composite index 7</b>										<b>\$3,269,731</b>		
<b>Total Recommended 1, 2A(8), 2A(6), 2A(5), 2A(4), 2A(3), 2B(8) and 2B(7)</b>												<b>\$711,416,294</b>
1	191	RCM*	Mozambique, South Africa, Swaziland	Low, 2Lower-m	Malaria	3	3	6	\$2,204,030	\$4,297,111	\$6,501,141	
<b>Recommended 2B Proposals with Composite index 6</b>										<b>\$6,501,141</b>		
<b>Total Recommended 1, 2A(8), 2A(6), 2A(5), 2A(4), 2A(3), 2B(8), 2B(7) and 2B(6)</b>												<b>\$717,917,435</b>
1	133	CCM	Sao Tome & Principe	Low	HIV/AIDS	1	4	5	\$337,015	\$247,203	\$584,218	
2	18	CCM	Tajikistan (Low)	Low	Malaria	1	4	5	\$1,425,218	\$1,346,783	\$2,772,001	
<b>Recommended 2B Proposals with Composite index 5</b>										<b>\$3,356,219</b>		
<b>Total Recommended 1, 2A(8), 2A(6), 2A(5), 2A(4), 2A(3), 2B(8), 2B(7), 2B(6) and 2B(5)</b>												<b>\$721,273,654</b>
1	183	CCM	Armenia	Lower-middle	Tuberculosis	1	2	3	\$2,010,730	\$1,887,926	\$3,898,656	
2	68	Non-CCM	Russian Federation	Lower-middle	HIV/AIDS	1	2	3	\$1,757,444	\$2,017,382	\$3,774,826	
<b>Recommended 2B Proposals with Composite index 3</b>										<b>\$7,673,482</b>		
<b>Total Recommended 1, 2A(8), 2A(6), 2A(5), 2A(4), 2A(3), 2B(8), 2B(7), 2B(6), 2B(5), and 2B(3)</b>												<b>\$728,947,136</b>

Prioritization according to Board Policy applicable in Resource Constrained Environments. Please Refer to Policy from 8th Board Meeting (GF/B8/2)

\* Used a weighted average for poverty index as the current policy does not address Multi-country situations and rounded up.

**Note:** The figures in Annex 1 have been updated from those included in Annex VI to the Report of the TRP and the Secretariat on Round 5 Proposals (GF/B11/6) to reflect (i) an increase in the Round 5 Nigeria tuberculosis component, as previously reported to the Board, and (ii) a decrease in the Niger Malaria and Democratic Republic of Congo tuberculosis components.